

#4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Atty. Docket No: DYAX/002

In re patent application of
LADNER, ROBERT C. et al.

Serial No. 09/837,306

Filed: April 17, 2001

For: NOVEL METHODS OF CONSTRUCTING LIBRARIES OF GENETIC PACKAGES THAT
COLLECTIVELY DISPLAY THE MEMBERS OF A DIVERSE FAMILY OF PEPTIDES,
POLYPEPTIDES OR PROTEINS



STATEMENT TO SUPPORT FILING AND SUBMISSION IN
ACCORDANCE WITH 37 C.F.R. §§ 1.821-1.825

Assistant Commissioner for Patents
Washington, D.C. 20231
Box SEQUENCE

Sir:

In connection with a Sequence Listing submitted concurrently herewith, the undersigned hereby states that:

1. the submission, filed herewith in accordance with 37 C.F.R. § 1.821(g), does not include new matter;

2. the content of the attached paper copy and the attached computer readable copy of the Sequence Listing, submitted in accordance with 37 C.F.R. § 1.821(c) and (e), respectively, are the same; and

3. all statements made herein of their own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United

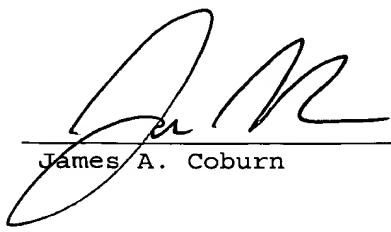
States Code and that such willful false statements may jeopardize the validity of the application or any patent resulting therefrom.

Respectfully submitted,

Sept. 5, 2001

Date

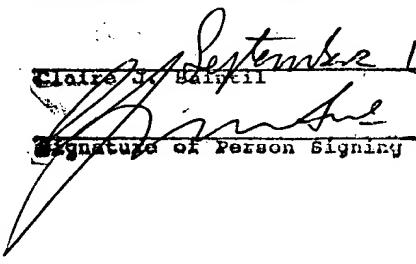
James A. Coburn



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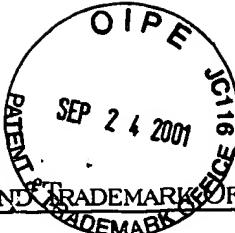
September 19, 2001
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Signature of Person Signing

#3



UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/837,306	04/17/2001	Robert C. Ladner	DYAX/002

CONFIRMATION NO. 9730

1473
FISH & NEAVE
1251 AVENUE OF THE AMERICAS
50TH FLOOR
NEW YORK, NY 10020-1105

FORMALITIES LETTER



OC00000000619734

Date Mailed: 06/19/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/26/2001 SSESH1 00000044 09837306

FILED UNDER 37 CFR 1.53(b)

01 FC:205 65.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice MUST be returned with the reply.

HB

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

平定回疆方略



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PATENTS
DYAX/002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Robert C. Ladner et al.
Application No.: 09/837,306 Confirmation No.: 9730
Filed : April 17, 2001
For : NOVEL METHODS OF CONSTRUCTING
LIBRARIES OF GENETIC PACKAGES THAT
COLLECTIVELY DISPLAY THE MEMBERS OF A
DIVERSE FAMILY OF PEPTIDES,
POLYPEPTIDES OR PROTEINS
Group Art Unit : 1632

Attn: Box Missing Parts

RESPONSE TO NOTICE TO FILE
MISSING PARTS OF APPLICATION

Sir:

Responsive to the Notice to File Missing Parts of Nonprovisional Application mailed June 19, 2001, applicants hereby submit the following:

1. A copy of the June 19, 2001 Notice to File Missing Parts of Nonprovisional Application;
2. Executed Declaration and Power of Attorney;
3. Hard copy of Sequence Listing (107 pages), and a diskette containing Sequence Listing;

4. Statement to Support Filing and Submission in Accordance with 37 C.F.R. §§ 1.821-1.825;

5. Petition for Extension of Time (in duplicate); and

6. Two (2) checks in the amounts of
(i) \$65.00 surcharge for late filing of the Declaration; and (ii) \$55.00 for one-month extension of time.

Please credit any overpayment or charge any underpayment in connection with this Response to Deposit Account No. 06-1075. A duplicate copy of this paper is enclosed.

Respectfully submitted,

Bhavana Joneja
Bhavana Joneja
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Agent for Applicants
Customer No. 1473
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Correspondence is being
Deposited with the U.S.
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COMMISSIONER FOR
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September 19, 2001
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